

Generation of Counterexamples for Synthesis in Markov Decision Processes

Chloé Capon ¹ Mickael Randour ^{1,2}

¹UMONS – Université de Mons, Belgium

²F.R.S.-FNRS, Belgium

May 30, 2024

MOVEP 2024 – Rennes (France)



Motivations

- ▶ **Reactive systems** are systems that continuously **interact** with their environment.
- ▶ We are interested in the **correctness** of critical reactive systems, e.g., ABS for cars.

Motivations

- ▶ **Reactive systems** are systems that continuously **interact** with their environment.
- ▶ We are interested in the **correctness** of critical reactive systems, e.g., ABS for cars.

Verification

Given a **formal model** of the system and a **specification**, the goal is to **check** that the system satisfies the specification.

Synthesis

Given a **system** to control trying to enforce some **specification** within an uncontrollable **environment**, it aims at the **automated construction** of provably-safe system controllers.

Motivations

- ▶ Synthesis algorithms permit to construct a suitable controller **if one exists**.
- ▶ Otherwise, they simply tell us that **no such controller exists**.
 \rightsquigarrow what happens in practice?

Motivations

- ▶ Synthesis algorithms permit to construct a suitable controller **if one exists**.
- ▶ Otherwise, they simply tell us that **no such controller exists**.

↪ what happens in practice?

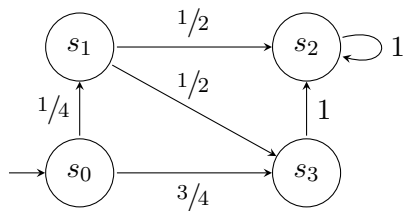
Idea

We need **refinement mechanisms** based on **counterexamples** that help practitioners understand:

- 1 **why** their attempt failed;
- 2 **how they can patch** the system – environment – specification triptych to make synthesis possible and obtain an adequate controller.

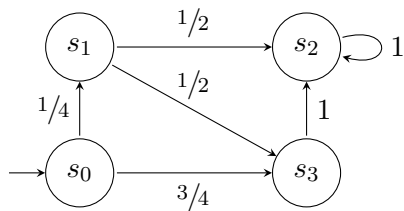
Markov Chains

- ▶ S a finite set of states
- ▶ s_0 an initial state
- ▶ $\delta : S \rightarrow \mathcal{D}(S)$ a probabilistic transition function



Markov Chains

- ▶ S a finite set of states
- ▶ s_0 an initial state
- ▶ $\delta : S \rightarrow \mathcal{D}(S)$ a probabilistic transition function

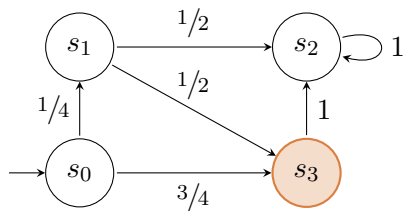


We denote by $\mathbb{P}(\diamond T)$ the **probability to reach** a set of states T when starting in s_0 .

Markov Chains

Example:

$$\mathbb{P}(\diamond\{s_3\}) =$$

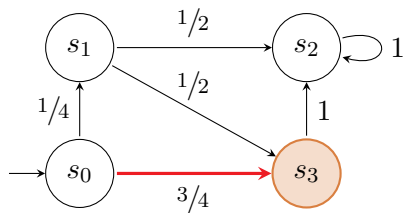


We denote by $\mathbb{P}(\diamond T)$ the **probability to reach** a set of states T when starting in s_0 .

Markov Chains

Example:

$$\mathbb{P}(\diamond\{s_3\}) = \frac{3}{4}$$

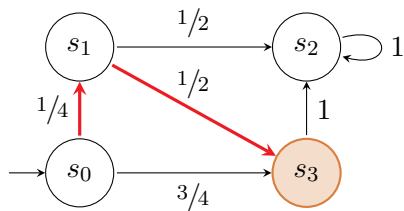


We denote by $\mathbb{P}(\diamond T)$ the **probability to reach** a set of states T when starting in s_0 .

Markov Chains

Example:

$$\mathbb{P}(\diamond\{s_3\}) = \frac{3}{4} + \frac{1}{4} \cdot \frac{1}{2}$$

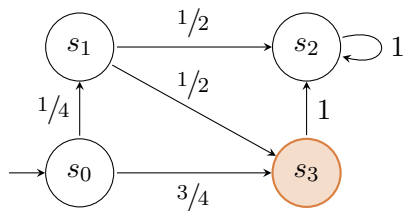


We denote by $\mathbb{P}(\diamond T)$ the **probability to reach** a set of states T when starting in s_0 .

Markov Chains

Example:

$$\mathbb{P}(\diamond\{s_3\}) = \frac{3}{4} + \frac{1}{4} \cdot \frac{1}{2} = \frac{7}{8}$$

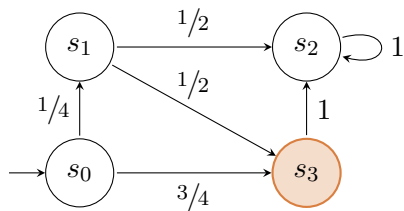


We denote by $\mathbb{P}(\diamond T)$ the **probability to reach** a set of states T when starting in s_0 .

Markov Chains

Example:

$$\mathbb{P}(\diamond\{s_3\}) = \frac{3}{4} + \frac{1}{4} \cdot \frac{1}{2} = \frac{7}{8}$$

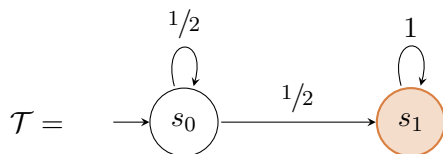


We denote by $\mathbb{P}(\diamond T)$ the **probability to reach** a set of states T when starting in s_0 .

We consider properties of the form: $\mathcal{P}_{\leq \lambda}[\diamond T]$ for $\lambda \in [0, 1]$.

Counterexamples for MCs

A counterexample is a **set of paths** with a **sufficient** probability mass.

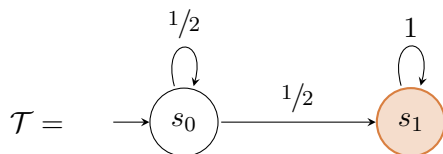


We have that $\mathcal{T} \not\models \mathcal{P}_{\leq 3/5}[\diamond\{s_1\}]$

$\{s_0s_1, s_0s_0s_1\}$ is a counterexample with a probability mass of $3/4$.

Counterexamples for MCs

A counterexample is a **set of paths** with a **sufficient** probability mass.

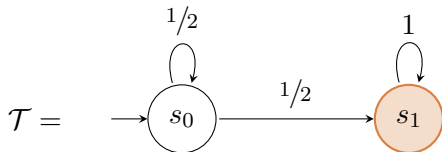


However: Quickly very large \rightsquigarrow **hard** to understand and manipulate.

A counterexample for $\mathcal{P}_{<1}[\diamond\{s_1\}]$ must be of infinite size.

Counterexamples for MCs

A counterexample is **a set of paths** with a **sufficient** probability mass.



However: Quickly very large \rightsquigarrow **hard** to understand and manipulate.

A counterexample for $\mathcal{P}_{<1}[\diamond\{s_1\}]$ must be of infinite size.

\rightsquigarrow compact representation via **subsystems**¹

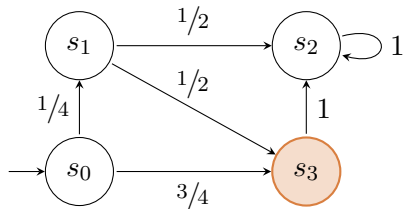
¹Ábrahám et al., “Counterexample Generation for Discrete-Time Markov Models: An Introductory Survey”.

Critical subsystems for MCs

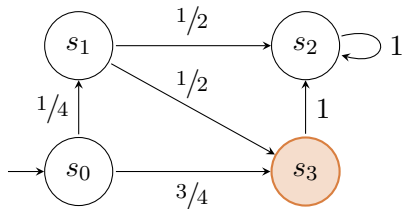
Example

We have that $\mathbb{P}(\diamond\{s_3\}) = \frac{7}{8}$, therefore both specifications are **false**.

► $\mathcal{P}_{\leq 1/5}[\diamond\{s_3\}]$



► $\mathcal{P}_{\leq 3/4}[\diamond\{s_3\}]$

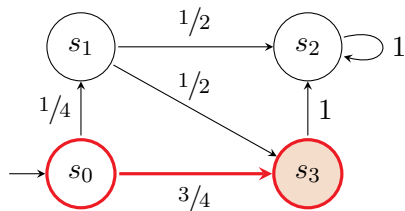


Critical subsystems for MCs

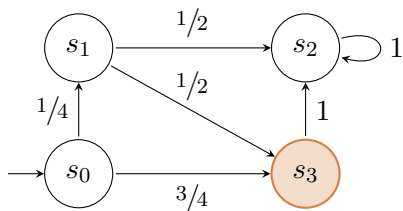
Example

We have that $\mathbb{P}(\diamond\{s_3\}) = \frac{7}{8}$, therefore both specifications are **false**.

► $\mathcal{P}_{\leq 1/5}[\diamond\{s_3\}]$



► $\mathcal{P}_{\leq 3/4}[\diamond\{s_3\}]$

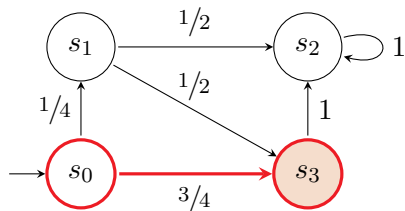


Critical subsystems for MCs

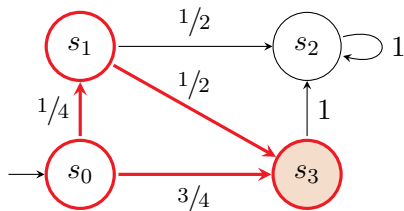
Example

We have that $\mathbb{P}(\diamond\{s_3\}) = \frac{7}{8}$, therefore both specifications are **false**.

► $\mathcal{P}_{\leq 1/5}[\diamond\{s_3\}]$



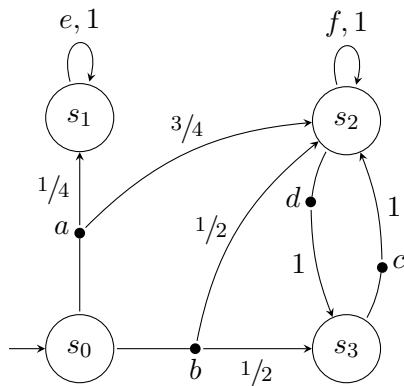
► $\mathcal{P}_{\leq 3/4}[\diamond\{s_3\}]$



Markov Decision Processes

Models with probabilistic transitions and **non-deterministic choices**:

- ▶ Finite set of actions A
- ▶ Probabilistic transition function $\delta : S \times A \rightarrow \mathcal{D}(S)$



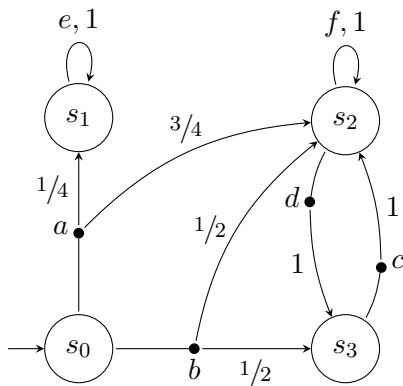
Markov Decision Processes

Models with probabilistic transitions and **non-deterministic choices**:

- ▶ Finite set of actions A
- ▶ Probabilistic transition function $\delta : S \times A \rightarrow \mathcal{D}(S)$

Strategy

A function $\sigma : S \rightarrow A$ that, given a current state, returns an available action.



Motivations

Counterexamples for MDPs

- ▶ For probabilistic systems with non-determinism, the behavior under the **strategies** is examined.

Verification:

$\mathcal{P}_{\leq \lambda}^{\forall}[\diamond T]$: **every** strategy has a probability smaller than λ to reach T .

Counterexamples : Need to show that

$$\exists \sigma, \mathbb{P}^{\sigma}(\diamond T) > \lambda$$

Done in [WJÁ+14]²

²Wimmer et al., “Minimal counterexamples for linear-time probabilistic verification”.

Motivations

Counterexamples for MDPs

- ▶ For probabilistic systems with non-determinism, the behavior under the **strategies** is examined.

Verification:

$\mathcal{P}_{\leq \lambda}^{\forall}[\diamond T]$: **every** strategy has a probability smaller than λ to reach T .

Synthesis:

$\mathcal{P}_{\leq \lambda}^{\exists}[\diamond T]$: there **exists** a strategy with probability smaller than λ to reach T .

Counterexamples : Need to show that

$$\exists \sigma, \mathbb{P}^{\sigma}(\diamond T) > \lambda$$

Done in [WJÁ+14]²

²Wimmer et al., "Minimal counterexamples for linear-time probabilistic verification".

Motivations

Counterexamples for MDPs

- ▶ For probabilistic systems with non-determinism, the behavior under the **strategies** is examined.

Verification:

$\mathcal{P}_{\leq \lambda}^{\forall}[\diamond T]$: **every** strategy has a probability smaller than λ to reach T .

Counterexamples : Need to show that

$$\exists \sigma, \mathbb{P}^{\sigma}(\diamond T) > \lambda$$

Done in [WJÁ+14]²

Synthesis:

$\mathcal{P}_{\leq \lambda}^{\exists}[\diamond T]$: there **exists** a strategy with probability smaller than λ to reach T .

Counterexamples : Need to show that

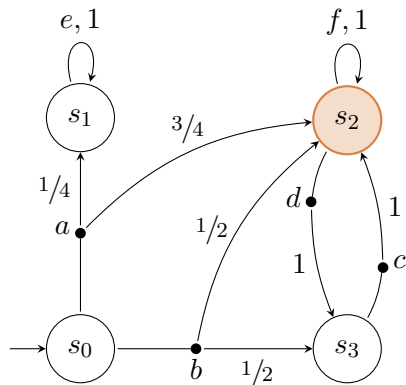
$$\forall \sigma, \mathbb{P}^{\sigma}(\diamond T) > \lambda$$

Our ongoing work

²Wimmer et al., "Minimal counterexamples for linear-time probabilistic verification".

Counterexample for synthesis

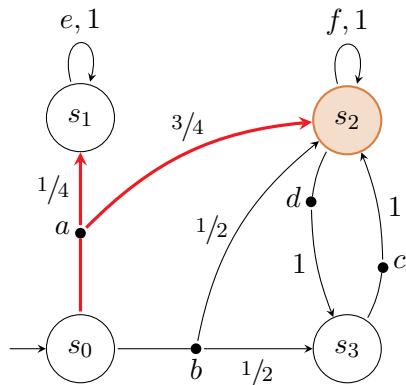
Example



Specification $\mathcal{P}_{\leq 1/4}^{\exists}[\diamond\{s_2\}]$ is false :

Counterexample for synthesis

Example

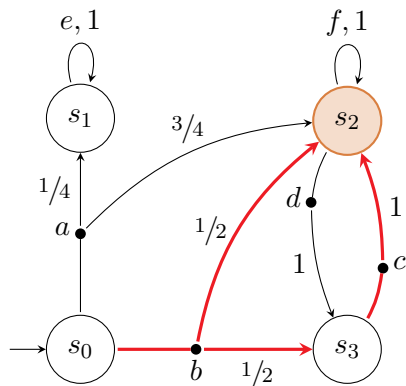


Specification $\mathcal{P}_{\leq 1/4}^{\exists}[\diamond\{s_2\}]$ is false :

► $\mathbb{P}^{\sigma_1}(\diamond\{s_2\}) = 3/4$

Counterexample for synthesis

Example



Specification $\mathcal{P}_{\leq 1/4}^{\exists}[\diamond\{s_2\}]$ is false :

- ▶ $\mathbb{P}^{\sigma_1}(\diamond\{s_2\}) = 3/4$
- ▶ $\mathbb{P}^{\sigma_2}(\diamond\{s_2\}) = 1$

Therefore, we cannot find a strategy that has $\mathbb{P}(\diamond\{s_2\}) \leq 1/4$.

Counterexample for synthesis

Example

In the case of synthesis, we need to keep the **structure** of the original MDP:

- ▶ Keep **every action** of each taken state

Counterexample for synthesis

Example

In the case of synthesis, we need to keep the **structure** of the original MDP:

- ▶ Keep **every action** of each taken state
- ▶ The missing probability is sent to a **sink** state

Counterexample for synthesis

Example

In the case of synthesis, we need to keep the **structure** of the original MDP:

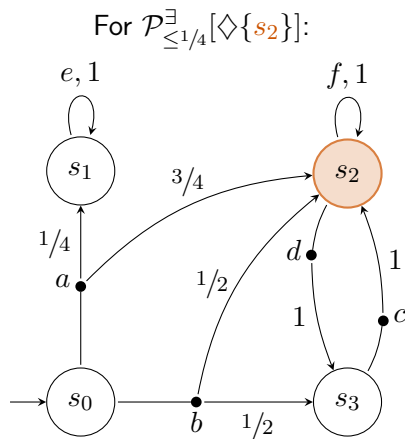
- ▶ Keep **every action** of each taken state
- ▶ The missing probability is sent to a **sink** state
- ▶ **Minimize** the number of states

Counterexample for synthesis

Example

In the case of synthesis, we need to keep the **structure** of the original MDP:

- ▶ Keep **every action** of each taken state
- ▶ The missing probability is sent to a **sink** state
- ▶ **Minimize** the number of states

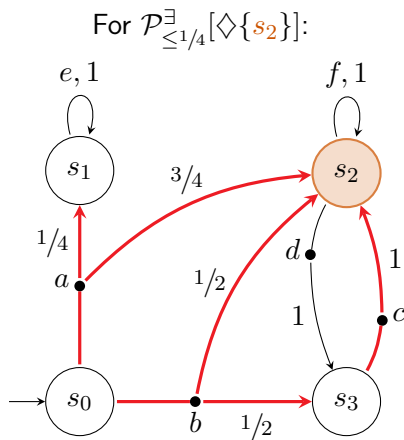


Counterexample for synthesis

Example

In the case of synthesis, we need to keep the **structure** of the original MDP:

- ▶ Keep **every action** of each taken state
- ▶ The missing probability is sent to a **sink** state
- ▶ **Minimize** the number of states

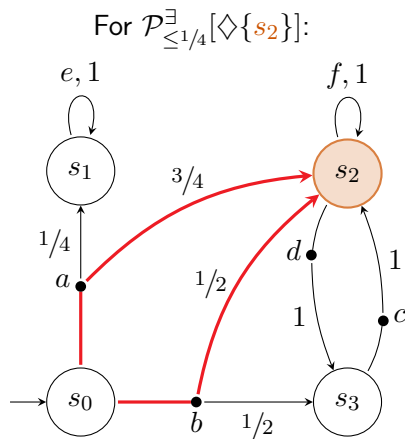


Counterexample for synthesis

Example

In the case of synthesis, we need to keep the **structure** of the original MDP:

- ▶ Keep **every action** of each taken state
- ▶ The missing probability is sent to a **sink** state
- ▶ **Minimize** the number of states



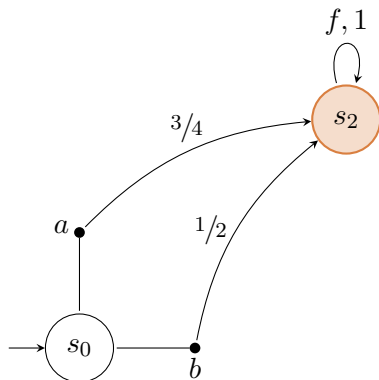
Counterexample for synthesis

Example

In the case of synthesis, we need to keep the **structure** of the original MDP:

- ▶ Keep **every action** of each taken state
- ▶ The missing probability is sent to a **sink** state
- ▶ **Minimize** the number of states

For $\mathcal{P}_{\leq 1/4}^{\exists}[\diamond\{s_2\}]$:



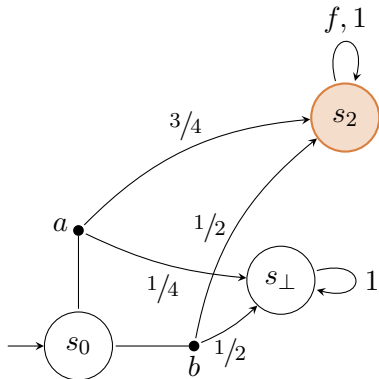
Counterexample for synthesis

Example

In the case of synthesis, we need to keep the **structure** of the original MDP:

- ▶ Keep **every action** of each taken state
- ▶ The missing probability is sent to a **sink** state
- ▶ **Minimize** the number of states

For $\mathcal{P}_{\leq 1/4}^{\exists}[\diamond\{s_2\}]$:



Conclusion

For now:

- ▶ Works for specifications: $\mathcal{P}_{\sim\lambda}^{\exists}[\diamond T]$ where $\sim \in \{\leq, <, \geq, >\}$
- ▶ **Generation** of counterexamples for synthesis through a Mixed Integer Linear Program (MILP)
- ▶ Used the tool **STORM** to implement our MILP
- ▶ Able to minimize on the **number of commands** when the input is in PRISM

Conclusion

For now:

- ▶ Works for specifications: $\mathcal{P}_{\sim\lambda}^{\exists}[\diamond T]$ where $\sim \in \{\leq, <, \geq, >\}$
- ▶ **Generation** of counterexamples for synthesis through a Mixed Integer Linear Program (MILP)
- ▶ Used the tool **STORM** to implement our MILP
- ▶ Able to minimize on the **number of commands** when the input is in PRISM

Ongoing/Future work:

- ▶ Assess the performance of our method on **benchmarks**
- ▶ Use the information given by counterexamples for when the synthesis process **fails**

Thank you for your attention!

Bibliography I



Ábrahám, Erika et al. “Counterexample Generation for Discrete-Time Markov Models: An Introductory Survey”. In: *Formal Methods for Executable Software Models - 14th International School on Formal Methods for the Design of Computer, Communication, and Software Systems, SFM 2014, Bertinoro, Italy, June 16-20, 2014, Advanced Lectures*. Ed. by Marco Bernardo et al. Vol. 8483. Lecture Notes in Computer Science. Springer, 2014, pp. 65–121. DOI: 10.1007/978-3-319-07317-0_3. URL: https://doi.org/10.1007/978-3-319-07317-0_3.



Wimmer, Ralf et al. “Minimal counterexamples for linear-time probabilistic verification”. In: *Theor. Comput. Sci.* 549 (2014), pp. 61–100. DOI: 10.1016/j.tcs.2014.06.020. URL: <https://doi.org/10.1016/j.tcs.2014.06.020>.